



08/267108

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKETT NO.
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08/267,108 06/27/94 MARSHALL

P 15076

KYLE, C EXAMINER

24M1/1221

PAUL LEMPEL  
KENYON & KENYON  
ONE BROADWAY  
NEW YORK, NY 10004

ART UNIT

PAPER NUMBER

2411

10

DATE MAILED:

1 OF 2  
12/21/95

## EXAMINER INTERVIEW SUMMARY RECORD

All participants (applicant, applicant's representative, PTO personnel):

- (1) Johnathon S. Caplan (3) \_\_\_\_\_  
(2) Charles Kyle (4) \_\_\_\_\_

Date of interview 12/14/95Type:  Telephonic  Personal (copy is given to  applicant  applicant's representative).Exhibit shown or demonstration conducted:  Yes  No. If yes, brief description: \_\_\_\_\_Agreement  was reached with respect to some or all of the claims in question.  was not reached.Claims discussed: 104, 106, 123, 124, 125

Identification of prior art discussed: \_\_\_\_\_

Description of the general nature of what was agreed to if an agreement was reached, or any other comments: Mr. Caplan described the real time operation of the invention as being possible because of the preprocessing of financial data, as by systems such as CAPRI. He stated this distinguishes over CAD's molecular model VR as being real time.

(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached.)

1. It is not necessary for applicant to provide a separate record of the substance of the interview.

Unless the paragraph below has been checked to indicate to the contrary, A FORMAL WRITTEN RESPONSE TO THE LAST OFFICE ACTION IS NOT WAIVED AND MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW (e.g., items 1-7 on the reverse side of this form). If a response to the last Office action has already been filed, then applicant is given one month from this interview date to provide a statement of the substance of the Interview.

2. Since the examiner's interview summary above (including any attachments) reflects a complete response to each of the objections, rejections and requirements that may be present in the last Office action, and since the claims are now allowable, this completed form is considered to fulfill the response requirements of the last Office action. Applicant is not relieved from providing a separate record of the substance of the interview unless box 1 above is also checked.

Charles R. Kyle  
Examiner's Signature



08/26/08

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**EXAMINER**

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2 of 2

## **EXAMINER INTERVIEW SUMMARY RECORD**

All participants (applicant, applicant's representative, PTO personnel):

(1) Johnathan S. Caplan (3)

(2) Charles Kyte (4)

Date of interview 12/14/95

Type:  **Telephonic**  **Personal (copy is given to**  **applicant**  **applicant's representative).**

**Exhibit shown or demonstration conducted:**  Yes  No. If yes, brief description:

**Agreement**  was reached with respect to some or all of the claims in question.  was not reached.

Claims discussed: claim 34 104, 106, 123, 124, 125

**Identification of prior art discussed:** \_\_\_\_\_

Description of the general nature of what was agreed to if an agreement was reached, or any other comments: *Art of record*

doesn't suggest preprocessing by financial analytic system to provide VR seasonal back (See 103 rejection), per Mr. Caplan. Examiner notes that real time and preprocessing are not claimed.

(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached.)

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**Examiner's Signature**